



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Shigeru Yoneda

Serial No.: 10/612,018

Group Art Unit: 2874

Filed: July 3, 2003

Examiner: Akm Enayet Ullah

For: ARRAYED WAVEGUIDE GRATING, ARRAYED WAVEGUIDE GRATING
MODULE, ARRAYED WAVEGUIDE GRATING MODULE WAVEGUIDE
COMPENSATION METHOD, OPTICAL COMMUNICATION SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CONSIDERATION OF
INFORMATION DISCLOSURE STATEMENTS

Sir:

Applicant respectfully requests that the Information Disclosure Statements submitted and filed on July 3, 2003, and September 26, 2003, be officially considered of record. Attached is a copy of the Forms PTO-1449 filed on July 3, 2003, and September 26, 2003, as well as a copy of the date-stamped postcards. Applicant respectfully requests that the Examiner officially acknowledge the disclosed information and promptly return the initialed Form PTO-1449.

Respectfully submitted,

Sean M. McGinn, Esq.
Reg. No. 34,386

Dated: 12/30/03

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FUX.017DIV
SMML/KS

Serial Number: 10 612, 018

Attorney's Post Card Filing Receipt

Papers Filed On: 9 26 03

Attorney's Docket Number: 2000 P 371434 ☒ Patent

☐ Trademark

Applicant's Name: Shigeru Yoneda Application Filing Date: 7 8 03

Papers Filed Herewith:

- | | | |
|--|---|---|
| <input type="checkbox"/> Amendment | <input type="checkbox"/> Request for Extension of Time | <input type="checkbox"/> CPA Request |
| <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Appeal Brief (in triplicate) | <input type="checkbox"/> Reply Brief |
| <input checked="" type="checkbox"/> IDS | <input checked="" type="checkbox"/> 1449 Form w/ <u>0</u> Documents | <input type="checkbox"/> Priority Document(s) |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Recordation Cover Sheet | <input type="checkbox"/> Formal Drawings |
| <input type="checkbox"/> Drawing Corrections | <input type="checkbox"/> Issue Fee Transmittal | <input type="checkbox"/> Missing Parts |
| <input type="checkbox"/> Other _____ | | |

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Applicant's Name: Shigeru Yoneda Application Filing Date: 7 8 03

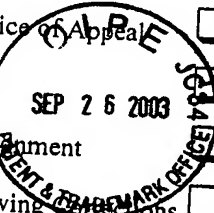
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| <input type="checkbox"/> Amendment | <input type="checkbox"/> Request for Extension of Time | <input type="checkbox"/> CPA Request |
| <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Appeal Brief (in triplicate) | <input type="checkbox"/> Reply Brief |
| <input checked="" type="checkbox"/> IDS | <input checked="" type="checkbox"/> 1449 Form w/ <u>0</u> Documents | <input type="checkbox"/> Priority Document(s) |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Recordation Cover Sheet | <input type="checkbox"/> Formal Drawings |
| <input type="checkbox"/> Drawing Corrections | <input type="checkbox"/> Issue Fee Transmittal | <input type="checkbox"/> Missing Parts |
| <input type="checkbox"/> Other _____ | | |

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SEP 26 2003



ATTY DOCKET NO. 2000P371434 DIV1	SERIAL NO. 10/612,018
APPLICANT(S) Shigeru Yoneda	
FILING DATE July 3, 2003	GROUP Not Yet Assigned

DISCLOSURE
If necessary
DEC 30 2003
PATENT & TRADE MARK
C223440

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(Including Author, Title, Date, Pertinent Pages, Etc.)			
			Japanese Office Action dated June 30, 2003, with partial English translation.
			Year 2000 Electronics Information Academic Communication Society Conference B-10-76, p. 299.

DATE CONSIDERED

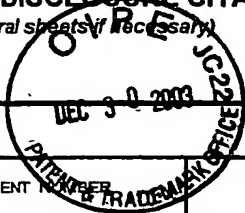
Form PTO-A820
(also form PTO-1449)

P09C/REV03

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.
2000P371434 DIV1

SERIAL NO.
10/612,018

APPLICANT(S)
Shigeru Yoneda

FILING DATE
July 3, 2003

GROUP
Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Year 2000 Electronics Information Academic Communication Society Conference B-10-78, p. 301.

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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2000P371434 DIV

Not Yet Assigned

Shigeru Yoneda

Concurrently Herewith

Not Yet Assigned

[illegible][illegible]

			K. Maru et al., "Athermal arrayed-waveguide grating multi/demultiplexer", Transactions of Institute of Electronics and Data Communication Engineers of Japan, Optoelectronic System Laboratory, Hitachi Cable, Ltd., C-3-76, 2000, p. 256.
			P.C. Clemens, et al., "Wavelength-Adaptable Optical Phased Array in SiO ₂ -Si" IEEE Photonics Technology Letters, Vol. 7, No. 10, October 1995, pp. 1040-1041.

DATE CONSIDERED

XAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.